

Amendment Tool

v1.5 25 Mar 2021

For office use

QC: No

Section 1: Project information

Short project title*:	The RECOVERY Trial			
IRAS project ID* (or REC reference if no IRAS project ID is available):	281712			
Sponsor amendment reference number*:	Substantial Amendment 22			
Sponsor amendment date* (enter as DD/MM/YY):	02 December 2021			
Briefly summarise in lay language the main changes proposed in this amendment. Explain the purpose of the changes and their significance for the study. If the amendment significantly alters the research design or methodology, or could otherwise affect the scientific value of the study, supporting scientific information should be given (or enclosed separately). Indicate whether or not additional scientific critique has been obtained (note: this field will adapt to the amount of text entered)*:	(1) We have completed recruitment to the baricitinib comparison so wish to remove this from the protocol. We also wish to extend the high-dose dexamethasone and empagliflozin comparisons to other countries. (2) The requirement in the protocol for confirmation of SARS-CoV-2 or influenza infection stated "laboratory confirmed" in error. Many hospitals use point-of-care tests for influenza diagnosis which should also count as "confirmed" for the trial. (3) The animation previously used to explain the trial to some participants only included COVID-19. We have modified this so that it also includes influenza.			
Project type (select):	<input checked="" type="radio"/> Specific study <input type="radio"/> Research tissue bank <input type="radio"/> Research database			
Has the study been reviewed by a UKECA-recognised Research Ethics Committee (REC) prior to this amendment?:	<input checked="" type="radio"/> Yes <input type="radio"/> No			
What type of UKECA-recognised Research Ethics Committee (REC) review is applicable? (select):	<input checked="" type="radio"/> NHS/HSC REC <input type="radio"/> Ministry of Defence (MoDREC)			
Is all or part of this amendment being resubmitted to the Research Ethics Committee (REC) as a modified amendment (i.e. a substantial amendment previously given an unfavourable opinion)?	<input type="radio"/> Yes <input checked="" type="radio"/> No			
Where is the NHS/HSC Research Ethics Committee (REC) that reviewed the study based?:	<input checked="" type="radio"/> England	<input type="radio"/> Wales	<input type="radio"/> Scotland	<input type="radio"/> Northern Ireland
Was the study a clinical trial of an investigational medicinal product (CTIMP) OR does the amendment make it one?:	<input checked="" type="radio"/> Yes <input type="radio"/> No			
EudraCT number*:	2020-001113-21			
Was this clinical trial of an investigational medicinal product (CTIMP) processed under the Combined Ways of Working (CWoW) pilot?:	<input type="radio"/> Yes <input checked="" type="radio"/> No			
Did the study receive Pharmacy Assurance?:	<input checked="" type="radio"/> Yes <input type="radio"/> No			
Was the study a clinical investigation or other study of a medical device OR does the amendment make it one?:	<input type="radio"/> Yes <input checked="" type="radio"/> No			
Did the study involve the administration of radioactive substances, therefore requiring ARSAC review, OR does the amendment introduce this?:	<input type="radio"/> Yes <input checked="" type="radio"/> No			
Did the study involve the use of research exposures to ionising radiation (not involving the administration of radioactive substances) OR does the amendment introduce this?:	<input type="radio"/> Yes <input checked="" type="radio"/> No			
Did the study involve adults lacking capacity OR does the amendment introduce this?:	<input checked="" type="radio"/> Yes <input type="radio"/> No			
Did the study involve access to confidential patient information outside the direct care team without consent OR does the amendment introduce this?:	<input type="radio"/> Yes <input checked="" type="radio"/> No			
Did the study involve prisoners OR does the amendment introduce this?:	<input type="radio"/> Yes <input checked="" type="radio"/> No			
Did the study involve children OR does the amendment introduce this?:	<input checked="" type="radio"/> Yes <input type="radio"/> No			
Did the study involve NHS/HSC organisations prior to this amendment?:	<input checked="" type="radio"/> Yes <input type="radio"/> No			
Did the study involve non-NHS/HSC organisations OR does the amendment introduce them?:	<input type="radio"/> Yes <input checked="" type="radio"/> No			
	England	Wales	Scotland	Northern Ireland
Lead nation for the study:	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Which nations had participating NHS/HSC organisations prior to this amendment?	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Which nations will have participating NHS/HSC organisations after this amendment?	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

Section 2: Summary of change(s)

What do you want to update?:	<input checked="" type="radio"/> Project information
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What do you want to update:

☐ New site/PI only

Please note: Each change being made as part of the amendment must be entered separately. For example, if an amendment to a clinical trial of an investigational medicinal product (CTIMP) involves an update to the Investigator's Brochure (IB), affecting the Reference Safety Information (RSI) and so the information documents to be given to participants, these should be entered into the amendment tool as three separate changes. A list of all possible changes is available on the "Glossary of Amendment Options" tab. To add another change, tick the "Add another change" box.

Change 1				
Area of change (select)*:	CTIMP IMP			
Specific change (select - only available when area of change is selected first)*:	Other - Please specify in the free text below			
Further information (free text - note that this field will adapt to the amount of text entered):	We have completed recruitment to the baricitinib comparison so wish to remove this from the protocol. We also wish to extend the high-dose dexamethasone and empagliflozin comparisons to other countries. This is reflected in the protocol and PIS/ICFs.			
Applicability:	England	Wales	Scotland	Northern Ireland
Where are the participating NHS/HSC organisations located that will be affected by this change?*	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Will all participating NHS/HSC organisations be affected by this change, or only some? (please note that this answer may affect the categorisation for the change):	<input checked="" type="radio"/> All		<input type="radio"/> Some	

Add another change: ☒

Change 2				
Area of change (select)*:	Study Design			
Specific change (select - only available when area of change is selected first)*:	Inclusion/exclusion criteria - Minor change unlikely to affect safety or scientific value of study			
Further information (free text - note that this field will adapt to the amount of text entered):	The requirement for confirmation of SARS-CoV-2 or influenza infection stated "laboratory confirmed" in error. Many hospitals use point-of-care tests for influenza diagnosis which should also count as "confirmed" for the trial.			
Applicability:	England	Wales	Scotland	Northern Ireland
Where are the participating NHS/HSC organisations located that will be affected by this change?*	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Will all participating NHS/HSC organisations be affected by this change, or only some? (please note that this answer may affect the categorisation for the change):	<input checked="" type="radio"/> All		<input type="radio"/> Some	

Add another change: ☒

Change 3				
Area of change (select)*:	Study Documents			
Specific change (select - only available when area of change is selected first)*:	Other significant change to study documents (e.g. information sheets, consent forms, questionnaires, letters) that can be implemented within existing resource in place at participating organisations - Please specify in the free text below			
Further information (free text - note that this field will adapt to the amount of text entered):	The animation previously used to explain the trial to some participants only included COVID-19. We have modified this so that it also includes influenza.			
Applicability:	England	Wales	Scotland	Northern Ireland
Where are the participating NHS/HSC organisations located that will be affected by this change?*	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Will all participating NHS/HSC organisations be affected by this change, or only some? (please note that this answer may affect the categorisation for the change):	<input checked="" type="radio"/> All		<input type="radio"/> Some	

Add another change: ☒

Change 4				
Area of change (select)*:	Study Documents			
Specific change (select - only available when area of change is selected first)*:	Correction of typographical errors			
Further information (free text - note that this field will adapt to the amount of text entered):				
Applicability:	England	Wales	Scotland	Northern Ireland
Where are the participating NHS/HSC organisations located that will be affected by this change?*	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Will all participating NHS/HSC organisations be affected by this change, or only some? (please note that this answer may affect the categorisation for the change):	<input type="radio"/> All		<input checked="" type="radio"/> Some	

Add another change: ☐

Section 3: Declaration(s) and lock for submission

Declaration by the Sponsor or authorised delegate

- I confirm that the Sponsor takes responsibility for the completed amendment tool
- I confirm that I have been formally authorised by the Sponsor to complete the amendment tool on their behalf

Applicant identification:	<input checked="" type="radio"/> Sponsor <input type="radio"/> Legal representative of the sponsor <input type="radio"/> Person or organisation authorised by the sponsor
Organisation:	University of Oxford
Name [first name and surname]*:	Elaine Chick
Address:	CTRG, Boundary Brook House, Churchill Drive, Headington, Oxford OX3 7GB
Telephone number:	
Fax number:	
Purchase Order (PO) number for MHRA invoicing:	H62017838
Email address*:	richard.haynes@ndph.ox.ac.uk; ctrg@admin.ox.ac.uk

Lock for submission

Please note: This button will only become available when all mandatory (*) fields have been completed. When the button is available, clicking it will generate a locked PDF copy of the completed amendment tool which must be included in the amendment submission. Please ensure that the amendment tool is completed correctly before locking it for submission.

Lock for submission

After locking the tool, [proceed to submit the amendment online](#). The "Submission Guidance" tab provides further information about the next steps for the amendment.

Section 4: Review bodies for the amendment

Please note: This section is for **information only**. Details in this section will complete automatically based on the options selected in Sections 1 and 2.

	Review bodies																Category		
	UK wide:						England and Wales:				Scotland:			Northern Ireland:					
	REC	Competent Authority MHRA - Medicines	Competent Authority MHRA - Devices	ARSAC	Radiation Assurance	UKSW Governance	REC (MCA)	CAG	HMPPS	HRA and HCRW Approval	REC (AWIA)	PBPP	SPS (RAEC)	National coordinating function	HSC REC	HSC Data Guardians		Prisons	National coordinating function
Change 1:	Y	Y				Y				Y				Y				Y	A
Change 2:	N	N				(Y)				(Y)				(Y)				(Y)	A
Change 3:	Y	N				Y				Y				Y				Y	C
Change 4:	N	N				N				N				N				N	N/A
Overall reviews for the amendment:																			
Full review:	Y	Y				Y				Y				Y				Y	
Notification only:	N	N				N				N				N				N	
Overall amendment type:	Substantial for review																		
Overall Category:	A																		