Amendment Tool

v1.6 06 December 2021

For office use

QC: No

Section 1: Project information

Short project title*:	The RECOVERY Trial
IRAS project ID* (or REC reference if no IRAS project ID is available):	281712
Sponsor amendment reference number*:	Substantial Amendment 23
Sponsor amendment date* (enter as DD/MM/YY):	17 December 2021
Briefly summarise in lay language the main changes proposed in this amendment. Explain the purpose of the changes and their significance for the study. If the amendment significantly alters the research design or methodology, or could otherwise affect the scientific value of the study, supporting scientific information should be given (or enclosed separately). Indicate whether or not additional scientific critique has been obtained (note: this field will adapt to the amount of text entered)*:	We wish to make three main changes with this amendment. (1) Addition of two new IMPs (sotrovimab and molnupiravir); (2) Addition of collection of blood and swab samples from selected participants at baseline and during follow-up; (3) Allow recruitment of patients who have previously been recruited into the trial at least 6 months previously. A full justification is enclosed.

Project type (select):	**Specific study**			
	Research tissue bank			
	Research database			
Has the study been reviewed by a UKECA-recognised Research Ethics Committee (REC) prior to this amendment?:	**Yes**		No	
What type of UKECA-recognised Research Ethics Committee (REC) review is applicable? (select):	**NHS/HSC REC**			
	Ministry of Defence (MoDREC)			
Is all or part of this amendment being resubmitted to the Research Ethics Committee (REC) as a **modified amendment** (i.e. a substantial amendment previously given an unfavourable opinion)?	Yes		**No**	
Where is the NHS/HSC Research Ethics Committee (REC) that reviewed the study based?:	England	Wales	Scotland	Northern Ireland
	Yes	No	No	No
Was the study a clinical trial of an investigational medicinal product (CTIMP) OR does the amendment make it one?:	**Yes**		No	
EudraCT number*:	2020-001113-21			
Was this clinical trial of an investigational medicinal product (CTIMP) processed under the CTIMP combined review service (formerly known as the Combined Ways of Working (CWoW) pilot)?:	Yes		**No**	
Did the study receive Pharmacy Assurance?:	**Yes**		No	
Was the study a clinical investigation or other study of a medical device OR does the amendment make it one?:	Yes		**No**	
Did the study involve the administration of radioactive substances, therefore requiring ARSAC review, OR does the amendment introduce this?:	Yes		**No**	
Did the study involve the use of research exposures to ionising radiation (not involving the administration of radioactive substances) OR does the amendment introduce this?:	Yes		**No**	
Did the study involve adults lacking capacity OR does the amendment introduce this?:	**Yes**		No	
Did the study involve access to confidential patient information outside the direct care team without consent OR does the amendment introduce this?:	Yes		**No**	
Did the study involve prisoners or young offenders who are in custody or supervised by the probation service OR does the amendment introduce this?:	Yes		**No**	
Did the study involve children OR does the amendment introduce this?:	**Yes**		No	
Did the study involve NHS/HSC organisations prior to this amendment?:	**Yes**		No	
Did the study involve non-NHS/HSC organisations OR does the amendment introduce them?:	Yes		**No**	
	England	Wales	Scotland	Northern Ireland
Lead nation for the study:	**Yes**	No	No	No
Which nations had participating NHS/HSC organisations prior to this amendment?	**Yes**	**Yes**	**Yes**	**Yes**
Which nations will have participating NHS/HSC organisations after this amendment?	**Yes**	**Yes**	**Yes**	**Yes**

Section 2: Summary of change(s)

What do you want to update?:	**Project information**
	New site/PI only

Please note: Each change being made as part of the amendment must be entered separately. For example, if an amendment to a clinical trial of an investigational medicinal product (CTIMP) involves an update to the Investigator's Brochure (IB), affecting the Reference Safety Information (RSI) and so the information documents to be given to participants, these should be entered into the Amendment Tool as three separate changes. A list of all possible changes is available on the "Glossary of Amendment Options" tab. To add another change, click the "Add another change" box.

Change 1				
Area of change (select)*:	CTIMP IMP			
Specific change (select - only available when area of change is selected first)*:	IMP being added to the study for the first time			
Further information (free text - note that this field will adapt to the amount of text entered):	We wish to add two IMPs to the protocol: sotrovimab (a licensed anti-SARS-CoV-2 monoclonal antibody) and molnupiravir (a licensed antiviral medication which is active against SARS-CoV-2). A full justification is enclosed.			
Applicability:	England	Wales	Scotland	Northern Ireland
Where are the participating NHS/HSC organisations located that will be affected by this change?*:	**Yes**	**Yes**	**Yes**	**Yes**
Will all participating NHS/HSC organisations be affected by this change, or only some? (**please note** that this answer may affect the categorisation for the change):	**All**		Some	
			Remove all changes below	

Change 2				
Area of change (select)*:	Study Design			
Specific change (select - only available when area of change is selected first)*:	Other significant change to study design that can be implemented within existing resource in place at participating organisations - Please specify in the free text below			
Further information In particular, please describe why this change can be implemented within the existing resource in place at the participating organisations (free text - note that this field will adapt to the amount of text entered)*	We wish to start collecting baseline serum and virology samples, and follow-up virology samples to inform our assessments of efficacy of sotrovimab and molnupiravir (and any resistance to them). We also wish to collect virology samples for patients with influenza (when these comparisons can begin) to assess the development of resistance against the IMPs.			
Applicability:	England	Wales	Scotland	Northern Ireland
Where are the participating NHS/HSC organisations located that will be affected by this change?*:	**Yes**	**Yes**	**Yes**	**Yes**
Will all participating NHS/HSC organisations be affected by this change, or only some? (**please note** that this answer may affect the categorisation for the change):	**All**		Some	
			Remove all changes below	

Change 3				
Area of change (select)*:	Study Design			
Specific change (select - only available when area of change is selected first)*:	Inclusion/exclusion criteria - Minor change unlikely to affect safety or scientific value of study			
Further information (free text - note that this field will adapt to the amount of text entered):	Until now, patients have only been able to be randomised once into RECOVERY. Entry of the same patient details a second time leads to the randomisation system warning the user and re-randomisation has not been accepted. However, in view of the duration of the pandemic and the immune escape demonstrated by Omicron, patients with second infections will become more common and important to study. Recruitment to comparisons not in the protocol at the time of the original randomisation would be allowed if >6 months have elapsed since their previous illness. This ensures that any previous treatments given are no longer present and that any treatments given will not interfere with the assessment of outcomes at 28 days or 6 months after their first randomisation.			
Applicability:	England	Wales	Scotland	Northern Ireland
Where are the participating NHS/HSC organisations located that will be affected by this change?*:	**Yes**	**Yes**	**Yes**	**Yes**
Will all participating NHS/HSC organisations be affected by this change, or only some? (**please note** that this answer may affect the categorisation for the change):	**All**		Some	
			Remove all changes below	

Change 4

Area of change (select)*:	Study Documents
Specific change (select - only available when area of change is selected first)*:	Correction of typographical errors
Further information (free text - note that this field will adapt to the amount of text entered):	

Applicability:	England	Wales	Scotland	Northern Ireland
Where are the participating NHS/HSC organisations located that will be affected by this change?*:	**Yes**	**Yes**	**Yes**	**Yes**
Will all participating NHS/HSC organisations be affected by this change, or only some? (**please note** that this answer may affect the categorisation for the change):	**All**		Some	

Add another change

Section 3: Declaration(s) and lock for submission

Declaration by the Sponsor or authorised delegate

- I confirm that the Sponsor takes responsibility for the completed amendment tool
- I confirm that I have been formally authorised by the Sponsor to complete the amendment tool on their behalf

Applicant identification:	**Sponsor** Legal representative of the sponsor Person or organisation authorised by the sponsor
Organisation:	University of Oxford
Name [first name and surname]:*	Heather House
Address:	CTRG, Boundary Brook House, Churchill Drive, Headington, Oxford OX3 7GB
Telephone number:	
Fax number:	
Purchase Order (PO) number for MHRA invoicing:	H62017838
Email address:*	ctrg@admin.ox.ac.uk

Lock for submission

Please note: This button will only become available when all mandatory (*) fields have been completed. When the button is available, clicking it will generate a locked PDF copy of the completed amendment tool which must be included in the amendment submission. Please ensure that the amendment tool is completed correctly before locking it for submission.

Lock for submission

After locking the tool, proceed to submit the amendment online. The "Submission Guidance" tab provides further information about the next steps for the amendment.

Section 4: Review bodies for the amendment

Please note: This section is for **information only**. Details in this section will complete automatically based on the options selected in Sections 1 and 2.

	Review bodies																	
	UK wide:						England and Wales:				Scotland:				Northern Ireland:			
	REC	Competent Authority MHRA - Medicines	Competent Authority MHRA - Devices	ARSAC	Radiation Assurance	UKSW Governance	REC (MCA)	CAG	HMPPS	HRA and HCRW Approval	REC (AWIA)	PBPP	SPS (RAEC)	National coordinating function	HSC REC	HSC Data Guardians	Prisons	National coordinating function	Category:
Change 1:	Y	Y				Y				Y				Y				Y	A
Change 2:	Y	Y				Y				Y				Y				Y	C

Change 3:	N	N				(Y)				(Y)				(Y)				(Y)	A
Change 4:	N	N				N				N				N				N	N/A
Overall reviews for the amendment:																			
Full review:	Y	Y				Y				Y				Y				Y	
Notification only:	N	N				N				N				N				N	
Overall amendment type:	Substantial for review																		
Overall Category:	A																		